

STATE OF MAINE
OFFICE OF SECURITIES
121 STATE HOUSE STATION
AUGUSTA, ME 04333

IN RE:

RONALD S. SNOW

NOTICE OF INTENT
08-025

ALLEGATIONS

1. Ronald S. Snow ("Snow") (CRD # 4276106) was licensed in Maine as a sales representative or agent of PFS Investments, Inc., ("PFS") from January 11, 2001, until September 29, 2006, when his license was terminated by PFS for failing to respond to a request for information relating to a possible event that needed to be disclosed on Mr. Snow's U4 record.
2. Snow was also the owner of Three Sixty Management Services, Inc., a bookkeeping business located in Norway, Maine, which provided services to Norway-Paris Cable Television ("NPC-TV").
3. In October of 2007, Snow pleaded guilty to stealing approximately \$29,352.01, a Class B felony, from NPC-TV. As a requirement of his sentence, Snow was ordered by the Superior Court of Oxford County to forfeit all of his professional licenses, including his securities licenses.
4. Pursuant to 32 M.R.S.A. §§16412 and 16702, the Securities Administrator may, after notice and opportunity for a hearing, issue an order revoking a license and/or barring a licensee from associating with any issuer, broker-dealer or

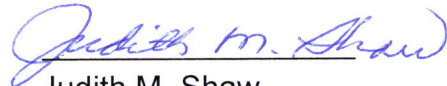
investment adviser if the Securities Administrator finds that the order is in the public interest and if a licensee has, within the last 10 years, pleaded guilty or nolo contendere to, or been convicted of murder or a Class A, B or C crime or any crime indicating a lack of fitness to engage in the securities business.

NOTICE

Notice is hereby given that the Securities Administrator intends to issue an Order to Revoke the Securities license of Ronald S. Snow and to Bar Snow from association under 32 M.R.S.A. §§ 16412(2) and 16702.


Snow may request a hearing in this matter. He must submit a written request in writing within thirty (30) calendar days of the date of this Notice of Intent or he waives any right to a hearing. 32 M.R.S.A. §§ 16604, 16702.

Date: June 28, 2010


Judith M. Shaw
Securities Administrator

Presented by:

Date: June 28, 2010


Christian D. Caruso
Investigator/Examiner